

JC  
JCWS/CS 05 MAR 2004

03/05/2004 15:02 7814019966

DCM, LLP

PAGE 01/06

**DALY, CROWLEY & MOFFORD, LLP**  
275 TURNPIKE STREET - SUITE 101  
CANTON, MASSACHUSETTS 02021-2310

**Telephone: 781-401-9988**  
**Facsimile: 781-401-9966**

## **FACSIMILE TRANSMITTAL SHEET**

Including this transmittal sheet, document consists of 6 pages.

Date: March 5, 2004

**Deliver To:** **Office of Initial  
Patent Exam**

**From:** Kermit Robinson

**Company:** **U.S. Patent and  
Trademark Office**

**Facsimile Number:** **703-746-9195**

**Telephone Number:**

### **MESSAGE:**

Re: U.S. Patent Application of Alexander H. Slocum, et al.  
Entitled: A VARIABLE ELECTRONIC CIRCUIT COMPONENT  
Filed On: October 10, 2003  
Application No.: 10/683,569  
DCM Case No.: MIT-141AUS

Please issue a corrected filing receipt for the above-identified U.S. Patent application per the enclosed documentation.

#### **STATEMENT OF CONFIDENTIALITY**

The documents included with this facsimile transmittal sheet contain information which is confidential and/or privileged. The information is intended to be for the use of the addressee named on this transmittal sheet. If you are not the addressee, note that any disclosure, photocopying, distribution or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone (collect) immediately so that we can arrange for the retrieval of the original documents at no cost to you.

**IF THERE IS A PROBLEM WITH THIS TRANSMISSION, OR IF YOU DID NOT  
RECEIVE ALL PAGES, PLEASE CALL ME AT 781-401-9988, 31 AS SOON AS POSSIBLE**

R:\FAX Cover Sheets\FAX-PTO corrected filing receipt.doc

PTO/SB/21 (08-03) modified  
Approved for use through 08/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

		Application Number	10/683,569
		Filing Date	October 10, 2003
		First Named Inventor	Alexander H. Slocum
		Art Unit	2816
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	5	Attorney Docket Number	MIT-141AUS

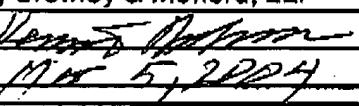
**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Letter Request for Corrected Filing Receipt; Copy of Filing Receipt
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**Remarks**

In the event a petition for extension of time is required by this paper and not otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 50-0845 for the cost of such extension.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Kermit Robinson	Reg. No. 48,734
Signature		
Date	Mar 5, 2004	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is transmitted via facsimile to Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, facsimile number 703-746-9195 on the date set forth below.

Typed or printed	Kermit Robinson	
Signature		
	Date	Mar 5, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/683,569 Confirmation No.: Not Yet Assigned  
Applicant : Alexander H. Slocum et al.  
Filed : October 10, 2003  
T.C./A.U. : 2816  
Examiner : Not Yet Assigned

Docket No. : MIT-141AUS  
Customer No. : 022494

Certificate of Transmission (37 C.F.R. 1.8(a))

I hereby certify that this correspondence is transmitted via facsimile to Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, facsimile number 703-746-9195 on the date set forth below.

*March 5, 2004*  
Date of Signature  
and Mail Deposit

By: *Kermit Robinson*  
Kermit Robinson  
Reg. No. 48,734  
Attorney for Applicant

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In reviewing the filing receipt (copy enclosed) for the above-identified patent application, and in accordance with a signed Declaration and Power of Attorney filed January 26, 2004, the following error was noted:

The name of inventor Hong Ma should be revised to read Hongshen Ma.

Appl. No. 10/683,569

Docket No. MIT-141AUS

Kindly correct this error and issue a corrected Filing Receipt.

Respectfully submitted,

DALY, CROWLEY & MOFFORD, LLP

March 5, 2004  
Dated

By: 

Kermit Robinson

Reg. No. 48,734

Attorney for Applicant(s)

275 Turnpike Street, Suite 101

Canton, MA 02021-2310

Telephone: (781) 401-9988 x24

Facsimile: (781) 401-9966

Q:\MIT\141AUS (Slocum)\mit-141aus requ corr filing receipt.doc

COPY

1.20.04  
Page 1 of 2

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1130  
 Alexandria, Virginia 22313-1130  
 www.uspto.gov

APPL NO.	FILING OR 371 (C) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/683,569	10/10/2003	2816	0.00	MIT-141AUS	22	16	2

022494  
 DALY, CROWLEY & MOFFORD, LLP  
 SUITE 101  
 275 TURNPIKE STREET  
 CANTON, MA 02021-2310

CONFIRMATION NO. 7673

## FILING RECEIPT



\*OC000000011705202\*

Date Mailed: 01/16/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Alexander H. Slocum, Bow, NH;  
 Jeffrey Lang, Sudbury, MA;  
 James R. White, Cambridge, MA;  
 Hong Ma, Cambridge, MA, *Hongshan Ma*  
 Xueen Yang, Cambridge, MA;

## Domestic Priority data as claimed by applicant

This appln claims benefit of 60/417,876 10/11/2002

## Foreign Applications

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Variable electronic circuit component

**Preliminary Class**

327

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).